

Procurement Report for New York State Thoroughbred Breeding Development Fund

Fiscal Year Ending: 12/31/2018

Run Date: 03/28/2019

Status: CERTIFIED

Certified Date: 03/28/2019

Procurement Information:

Question	Response	URL(If Applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.nybreeds.com/policies/
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	Yes	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents, or specifications for procurement contracts?	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a. If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	
10. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a statement on its prompt payment policy?	Yes	Attachment Included
11. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a report on the scope and implementation of its prompt payment policy?	Yes	Attachment Included
12. Is the Authority subject to Article 15-A of the Executive Law?	Yes	
13. Has the Authority designated a person or persons to oversee its MWBE program?	Yes	
14. Does the Authority maintain a current and updated list of qualified certified MWBEs?	Yes	
15. Does the Authority provide notices to professional and other organizations that serve MWBEs as to the types of services procured by the Authority?	Yes	
16. Did the Authority establish a goal for participation by MWBEs in its procurement contracts?	Yes	
a. If yes, what was the goal (percentage of total procurements) for the reporting period?	30%	
b. What was the actual percentage of total procurements awarded to MWBEs for the reporting period?	38%	

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Procurement Transactions Listing:

1. Vendor Name	Bill Heller	Type Of Procurement	Other Professional Services
Transaction Number		Award Process	Non Contract Procurement/Purchase Order
Procurement Description	writer of thoroughbred racing articles	Award Date	
Amount Expended for Fiscal Year	\$16,663.00	Begin Date	
Amount Expended for Life to Date		Renewal Date	
Does the Contract have an End Date		End Date	
Current or Outstanding Balance		Amount	
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?		Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	COMPLETED
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1			
Address Line 2			
City	HOLLYWOOD		
State	FL		
Postal Code	33020		
Plus 4			
Province/Region			
Country	United States		

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Procurement Transactions Listing:

2. Vendor Name	Bryan Cave, LLP	Type Of Procurement	Legal Services
Transaction Number		Award Process	Authority Contract - Non-Competitive Bid
Procurement Description	Legal services	Award Date	01/01/18
Amount Expended for Fiscal Year	\$51,113.00	Begin Date	01/01/18
Amount Expended for Life to Date	\$562,001.00	Renewal Date	
Does the Contract have an End Date		End Date	12/31/18
Current or Outstanding Balance	\$0.00	Amount	\$51,113.00
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	\$51,113.00
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	1290 Ave. of the Americas		
Address Line 2	33rd floor		
City	NEW YORK		
State	NY		
Postal Code	10104		
Plus 4			
Province/Region			
Country	United States		

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Procurement Transactions Listing:

3. Vendor Name	Capstone Enterprises, Inc.	Type Of Procurement	Other
Transaction Number		Award Process	Non Contract Procurement/Purchase Order
Procurement Description	Promotional advertisements in industry publications	Award Date	
Amount Expended for Fiscal Year	\$202,338.00	Begin Date	
Amount Expended for Life to Date		Renewal Date	
Does the Contract have an End Date		End Date	
Current or Outstanding Balance		Amount	
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?		Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	3170 N W 100th Street		
Address Line 2			
City	OCALA		
State	FL		
Postal Code	34475		
Plus 4			
Province/Region			
Country	United States		

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Procurement Transactions Listing:

4. Vendor Name	Frutschy Consulting	Type Of Procurement	Financial Services
Transaction Number		Award Process	Authority Contract - Non-Competitive Bid
Procurement Description	Financial Consulting Services	Award Date	01/02/12
Amount Expended for Fiscal Year	\$28,050.00	Begin Date	01/09/12
Amount Expended for Life to Date	\$388,411.00	Renewal Date	
Does the Contract have an End Date	No	End Date	
Current or Outstanding Balance	\$2,750.00	Amount	\$28,050.00
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	\$28,050.00
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	Yes	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?	Yes	Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption	Temporary staffing and accounting consultation.	Number of Bids and Proposals Received from MWBE Firms	
Address Line 1			
Address Line 2			
City	BALLSTON LAKE		
State	NY		
Postal Code	12019		
Plus 4			
Province/Region			
Country	United States		

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Procurement Transactions Listing:

5. Vendor Name	Horse Racing Radio Network	Type Of Procurement	Other Professional Services
Transaction Number		Award Process	Non Contract Procurement/Purchase Order
Procurement Description	Advertising	Award Date	
Amount Expended for Fiscal Year	\$14,398.00	Begin Date	
Amount Expended for Life to Date		Renewal Date	
Does the Contract have an End Date		End Date	
Current or Outstanding Balance		Amount	
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?		Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	365 Colony Drive		
Address Line 2			
City	VERSAILLES		
State	KY		
Postal Code	40383		
Plus 4			
Province/Region			
Country	United States		

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Procurement Transactions Listing:

6. Vendor Name	Jockey Club Information Systems	Type Of Procurement	Technology - Consulting/Development or Support
Transaction Number		Award Process	Authority Contract - Non-Competitive Bid
Procurement Description	Racing data and proprietary software support	Award Date	01/01/08
Amount Expended for Fiscal Year	\$44,145.00	Begin Date	01/01/08
Amount Expended for Life to Date	\$558,011.00	Renewal Date	
Does the Contract have an End Date	No	End Date	
Current or Outstanding Balance	\$2,185.00	Amount	\$44,145.00
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	\$44,145.00
Is the Vendor a NYS or Foreign Business Enterprise?		Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?	Yes	Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption	single source provider received waiver	Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	821 Corporate Drive		
Address Line 2			
City	LEXINGTON		
State	KY		
Postal Code	40503		
Plus 4	2794		
Province/Region			
Country	United States		

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Procurement Transactions Listing:

7. Vendor Name	Liberty Mutual Insurance	Type Of Procurement	Other
Transaction Number		Award Process	Authority Contract - Competitive Bid
Procurement Description	business and auto insurance	Award Date	02/07/18
Amount Expended for Fiscal Year	\$8,889.00	Begin Date	02/07/18
Amount Expended for Life to Date	\$25,711.00	Renewal Date	
Does the Contract have an End Date		End Date	02/07/19
Current or Outstanding Balance	\$0.00	Amount	\$8,889.00
Number of Bids or Proposals Received Prior to Award of Contract	1	Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?		Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?	Yes	Were MWBE Firms Solicited as Part of this Procurement Process?	Yes
If Yes, basis for Exemption	under \$50,000	Number of Bids and Proposals Received from MWBE Firms	0
Address Line 1	PO Box 2051		
Address Line 2			
City	KEENE		
State	NH		
Postal Code	03431		
Plus 4	7051		
Province/Region			
Country	United States		

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8. Vendor Name	Marvin and Company, P.C.	Type Of Procurement	Financial Services
Transaction Number		Award Process	Authority Contract - Competitive Bid
Procurement Description	annual audit of financial reports	Award Date	01/01/17
Amount Expended for Fiscal Year	\$11,115.00	Begin Date	01/01/17
Amount Expended for Life to Date	\$21,945.00	Renewal Date	
Does the Contract have an End Date		End Date	03/31/20
Current or Outstanding Balance	\$0.00	Amount	\$33,750.00
Number of Bids or Proposals Received Prior to Award of Contract	5	Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?		Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?	No	Were MWBE Firms Solicited as Part of this Procurement Process?	Yes
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	2
Address Line 1	11 British American Blvd.		
Address Line 2			
City	LATHAM		
State	NY		
Postal Code	12110		
Plus 4			
Province/Region			
Country	United States		

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Procurement Transactions Listing:

9. Vendor Name	New York Thoroughbred Breeders Inc.	Type Of Procurement	Other
Transaction Number		Award Process	Authority Contract - Non-Competitive Bid
Procurement Description	Contracted advertising and promotion of thoroughbred racing with the thoroughbred breeders industry association, plus special event fees	Award Date	01/01/18
Amount Expended for Fiscal Year	\$400,000.00	Begin Date	01/01/18
Amount Expended for Life to Date	\$3,130,130.00	Renewal Date	01/01/19
Does the Contract have an End Date		End Date	12/31/19
Current or Outstanding Balance	\$0.00	Amount	\$400,000.00
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	\$400,000.00
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	COMPLETED
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	57 Phila Street, 2nd Floor		
Address Line 2			
City	SARATOGA SPRINGS		
State	NY		
Postal Code	12866		
Plus 4			
Province/Region			
Country	United States		

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10. Vendor Name	Pilot Girl Productions	Type Of Procurement	Other Professional Services
Transaction Number		Award Process	Non Contract Procurement/Purchase Order
Procurement Description	video production	Award Date	
Amount Expended for Fiscal Year	\$14,387.00	Begin Date	
Amount Expended for Life to Date		Renewal Date	
Does the Contract have an End Date		End Date	
Current or Outstanding Balance		Amount	
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	Yes	Status	COMPLETED
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	56 Silver Creek Drive		
Address Line 2			
City	SELKIRK		
State	NY		
Postal Code	12158		
Plus 4			
Province/Region			
Country	United States		

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11. Vendor Name	ReRun, Inc.	Type Of Procurement	Other
Transaction Number		Award Process	Non Contract Procurement/Purchase Order
Procurement Description	promotional sponsorship	Award Date	
Amount Expended for Fiscal Year	\$7,000.00	Begin Date	
Amount Expended for Life to Date		Renewal Date	
Does the Contract have an End Date		End Date	
Current or Outstanding Balance		Amount	
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	COMPLETED
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	236A Waters Rd		
Address Line 2			
City	EAST GREENBUSH		
State	NY		
Postal Code	12061		
Plus 4			
Province/Region			
Country	United States		

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12. Vendor Name	Tech II	Type Of Procurement	Technology - Consulting/Development or Support
Transaction Number		Award Process	Authority Contract - Competitive Bid
Procurement Description	computer/IT maintenance and support	Award Date	01/01/17
Amount Expended for Fiscal Year	\$11,580.00	Begin Date	01/01/17
Amount Expended for Life to Date	\$34,575.00	Renewal Date	01/01/19
Does the Contract have an End Date		End Date	12/31/19
Current or Outstanding Balance	\$0.00	Amount	\$11,580.00
Number of Bids or Proposals Received Prior to Award of Contract	1	Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	COMPLETED
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?	No	Were MWBE Firms Solicited as Part of this Procurement Process?	Yes
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	0
Address Line 1	4289 Route 50		
Address Line 2			
City	SARATOGA SPRINGS		
State	NY		
Postal Code	12866		
Plus 4			
Province/Region			
Country	United States		

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13. Vendor Name	The Hartford Insurance Co.	Type Of Procurement	Other
Transaction Number		Award Process	Non Contract Procurement/Purchase Order
Procurement Description	worker's compensation insurance	Award Date	
Amount Expended for Fiscal Year	\$6,456.00	Begin Date	
Amount Expended for Life to Date		Renewal Date	
Does the Contract have an End Date		End Date	
Current or Outstanding Balance		Amount	
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?		Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	PO Box 660916		
Address Line 2			
City	DALLAS		
State	TX		
Postal Code	75266		
Plus 4			
Province/Region			
Country	United States		

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14. Vendor Name	WNYT-TV	Type Of Procurement	Other
Transaction Number		Award Process	Non Contract Procurement/Purchase Order
Procurement Description	advertising	Award Date	
Amount Expended for Fiscal Year	\$19,200.00	Begin Date	
Amount Expended for Life to Date		Renewal Date	
Does the Contract have an End Date		End Date	
Current or Outstanding Balance		Amount	
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?		Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	COMPLETED
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	715 N Pearl Street		
Address Line 2			
City	ALBANY		
State	NY		
Postal Code	12204		
Plus 4			
Province/Region			
Country	United States		

Additional Comments